COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN: 1131988190A1

DATE:03/16/2017

ORGANIZATION:

Advanced Science Research Center (ASRC) at CUNY

230 West 41st Street

New York, NY 10036

FILING REF.: The preceding agreement was dated 09/12/2014

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: Facilities And Administrative Cost Rates

RATE TYPES: FIXED FINAL PROV. (PROVISIONAL) PRED. (PREDETERMINED)

EFFECTIVE PERIOD

TYPE	FROM	TO	RATE(%)	LOCATION	APPLICABLE TO
PRED.	07/01/2017	06/30/2021	57.00	On-Campus	Research
PRED.	07/01/2017	06/30/2021	45.00	On-Campus	Other Sponsored Programs
PRED.	07/01/2017	06/30/2021	26.00	Off-Campus	All Programs
PROV.	07/01/2021	Until Amended			Use same rates and conditions as those cited for fiscal year ending June 30, 2021.

*BASE

Total direct costs excluding capital expenditures (buildings, individual items of equipment; alterations and renovations), that portion of each subaward in excess of $25,000; hospitalization and other fees associated with patient care whether the services are obtained from an owned, related or third party hospital or other medical facility; rental/maintenance of off-site activities; student tuition remission and student support costs (e,g., student aid, stipends, dependency allowances, scholarships, fellowships).

SECTION II: SPECIAL REMARKS

NA

TREATMENT OF PAID ABSENCES

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

1. These indirect cost rates apply when grants or contracts are awarded jointly to the Research Foundation of City University of New York and the Advanced Science Research Center (ASRC) at CUNY.

2. An off-campus site is one which does not benefit from either the building or equipment of the ASRC at CUNY. Projects are not apportioned between their on-site and off-site components. If 50% or more of the indirect cost base costs of the project are determined to be on-site, the entire project is considered on-site. If less then 50% of the indirect cost rate base costs are determined to be on-site, the entire project is considered off-site.

3. Treatment of Fringe Benefits: Fringe benefits applicable to direct salaries and wages are treated as direct costs.

4. Equipment means an article of nonexpendable, tangible personal property having a useful life of more than one year, and an acquisition cost of $5,000 or more per unit.

5. The next F&A cost rate proposal based on actual costs for the fiscal year ending 06/30/2020 is due in our office by 12/31/2020.

SECTION III: GENERAL

A. LIMITATIONS:

The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its facilities and administrative cost pools as finally accepted: such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. ACCOUNTING CHANGES:

This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Failure to obtain approval may result in cost disallowances.

C. FIXED RATES:

If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

D. USE BY OTHER FEDERAL AGENCIES:

The rates in this Agreement were approved in accordance with the authority in Title 2 of the Code of Federal Regulations, Part 200 (2 CFR 200), and should be applied to grants, contracts and other agreements covered by 2 CFR 200, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

E. OTHER:

If any Federal contract, grant or other agreement is reimbursing facilities and administrative costs by a means other than the approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of facilities and administrative costs allocable to these programs.

BY THE INSTITUTION:

Advanced Science Research Center (ASRC) at CUNY

(INSTITUTION)

(SIGNATURE)

Edward Kalaydjian

(NAME)

CFO

(TITLE)

03/27/17

(DATE)

ON BEHALF OF THE FEDERAL GOVERNMENT:

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(AGENCY)

Darryl W. Mayes - A

Digitally signed by Darryl W. Mayes -A
DN: c=US, o=U.S. Government, ou=HHS, ou=PSC, ou=People, 0.9.2342.19200300.100.1.1=2000131669, cn=Darryl W. Mayes -A
Date: 2017.03.27 08:54:28 -04'00'

(SIGNATURE)

Darryl W. Mayes

(NAME)

Deputy Director, Cost Allocation Services

(TITLE)

3/16/2017

(DATE) 6245

HHS REPRESENTATIVE: Michael Leonard

Telephone: (212) 264-2069

Components of Published Facilities and Administrative Cost Rate

Institution: **Advanced Science Research Center (ASRC) at CUNY**
FY Covered by Rate: **Fiscal Years Ending 6/30/18 to 6/30/21**
Predetermined Rates

Rate Component	FY 18-21
1. a. Depreciation - Bldgs & Improvements	4.84%
b. Depreciation - Equipment	0.61%
2. Interest	0.00%
3. Operation & Maintenance	25.55%
4. General Administration	0.00% *
5. Departmental Administration	26.00% *
6. Sponsored Projects Administration	0.00% *
7. Library	0.00%
8. Other	0.00%
Published Rate - Research (On-Campus)	**57.0%**

*** Reflects provisions of Appendix III to Part 200 of Uniform Guidance—Indirect (F&A) Costs Identification and Assignment, and Rate Determination for Institutions of Higher Education (IHEs), C.8. dated December 26, 2013.**

Name : Edward Kalaydjian

Title: CFO

Date: 03/28/17

Components of Published Facilities and Administrative Cost Rate

Institution: **Advanced Science Research Center (ASRC) at CUNY**
FY Covered by Rate: **Fiscal Years Ending 6/30/18 to 6/30/21**
Predetermined Rates

Rate Component	FY 18-21
1. a. Depreciation - Bldgs & Improvements	4.00%
b. Depreciation - Equipment	2.00%
2. Interest	0.00%
3. Operation & Maintenance	13.00%
4. General Administration	0.00% *
5. Departmental Administration	26.00% *
6. Sponsored Projects Administration	0.00% *
7. Library	0.00%
8. Other	0.00%
Published Rate - Other Spons. Programs (On-Campus)	**45.0%**

*** Reflects provisions of Appendix III to Part 200 of Uniform Guidance—Indirect (F&A) Costs Identification and Assignment, and Rate Determination for Institutions of Higher Education (IHEs), C.8. dated December 26, 2013.**

Name : Edward Kalaydjian

Title: CFO

Date: 03/28/17

Components of Published Facilities and Administrative Cost Rate

Institution: **Advanced Science Research Center (ASRC) at CUNY**
FY Covered by Rate: **Fiscal Years Ending 6/30/18 to 6/30/21**
Predetermined Rates

Rate Component	FY 18-21
1. a. Depreciation - Bldgs & Improvements	0.00%
b. Depreciation - Equipment	0.00%
2. Interest	0.00%
3. Operation & Maintenance	0.00%
4. General Administration	0.00% *
5. Departmental Administration	26.00% *
6. Sponsored Projects Administration	0.00% *
7. Library	0.00%
8. Other	0.00%
Published Rate - All Programs (Off-Campus)	**26.0%**

*** Reflects provisions of Appendix III to Part 200 of Uniform Guidance—Indirect (F&A) Costs Identification and Assignment, and Rate Determination for Institutions of Higher Education (IHEs), C.8. dated December 26, 2013.**

Name : Edward Kalaydjian

Title: CFO

Date: 03/28/17