Partnerships for International Research and Education (PIRE) A Model of International Scientific Collaboration

Research Foundation of the City University of New York Office of Award Pre-Proposal Support June 10, 2016



Agenda for Meeting

10:00 am-Breakfast

10:30 am-Welcoming Remarks and Introductions

10:45 pm-PIRE Program Overview

11:45 am-Break

12 noon-Questions and Answer Period and Open Discussion

1 pm-End of Meeting



What is PIRE?

- PIRE stands for Partnerships for International Research and Education
- PIRE's primary purpose is to advance international S&E collaborations in both research and education across all S&E disciplines
- PIRE supports excellence in S&E research and education through these international collaborations
- PIRE engages US and foreign PIs to share resources and research infrastructure within and across institutions to build strong partnerships
- PIRE provides opportunities for US students and early career researchers to participate in substantive international research experiences



What type of PIRE projects is NSF looking to support?

- Projects with a transformative research component
- Projects with a well integrated educational component
- Projects that leverage financial and human resources between multiple domestic and multiple international partners
- Projects with reciprocal research and educational components between US and foreign collaborators
- Projects that understand and address the challenges and complexities of international scientific partnerships



How PIRE was conducted in the 2015 Competition

- Proposals reviewed by 7 panels-Biological Sciences, Geosciences, Computer Sciences, Engineering, Social Sciences, Math and Physical Sciences, Education
- OISE had leadership for organizing and managing, the proposal review process, outreach and communication, and post-award activity
- OISE managed the coordination with the NSF PIRE Coordinating Committee (PCC) and with PIRE counterpart organizations
- PCC and external foreign/domestic partners provided names of reviewers and panelists for proposals in respective disciplines and countries and attended panels
- In consultation with PCC and external partners OISE made award recommendations, negotiated U.S. budgets, and made awards (OISE was responsible for US budgets and counterpart organizations were responsible for their budgets)



How PIRE was Conducted in the 2015 Competition (cont'd)

Evaluation Criteria

Quality of Science (Intellectual Merit and Broader Impacts)-required by NSF Quality of Educational Activities-PIRE specific Value Added and Strength of International Partnership-PIRE specific Institutional Engagement-PIRE specific Project Management-PIRE specific

Conflict of Interest

NSF Conflict of Interest Rules apply. If there was a difference between NSF and external partner's COI rules the more restrictive rules apply.

Confidentiality

NSF Confidentiality rules apply. All information on PIRE proposals, reviews, rankings, review analyses were shared with partners who signed a confidentiality agreement



How PIRE was Conducted in the 2015 Competition (cont'd)

Budget

OISE contributed \$61.5 million for US institutions over 5 years Foreign partners contributed funding to the foreign institutions/collaborators NSF Research Directorates provided co-funding at their discretion-\$7.7 million

Number of Proposals and Awards

228 preliminary proposals
63 invited proposals (59 actually submitted)
17 awards were made
Average award size-Approximately \$4.5 million

Respective Administrative and Budgetary Responsibilities NSF was responsible for all costs associated with administration and review of proposals submitted to NSF-any additional costs required in connection with components of proposals submitted to foreign partners were assumed by them



Cohort 5 PIRE Awards

ENGINEERING

Aluru-Active materials in chemical fuel production-\$4.3 million Aguilar-Synthesis of optical materials-Brody-Coastal flood risk reduction -\$3.6 million

Kim-Advanced artificial muscles in soft robotics-\$3.8 million

Vikesland-Halting environmental antimicrobial resistance-\$3.3 million **GEOSCIENCES**

Garzione-Dust flux from desert sources and its impact on climate change-\$4.2 million Anagnostou-Taming water in Ethiopia-\$4.3 million

Joseph -Building extreme weather resiliency and global community resiliency-\$4.5 million Kohn-Tracing the cycle of rocks and fluids in the fossil subduction zone of Europe-\$4 million MATHEMATICS AND PHYSICAL SCIENCES

Kasliwal-Studying short-lived cosmic transients and near-earth asteroids-\$4.5 million Composto-Active coatings technologies for the human habitat-\$3.5 million BIOLOGICAL SCIENCES

Hanley-Cassava mosaic disease-insect-transmitted plant virus pathosystems-\$5 million Yoon-International Program for the advancement of neurotechnology-\$5 million SOCIAL, BEHAVIORAL, and ECONOMIC SCIENCES

Miller-Neural mechanisms of reward and decision-\$3.8 million Kroll-Translating cognitive and brain science to language learning environments-\$5 million Orttung-Promoting Urban Sustainability in the Arctic-\$3 million EDUCATION

Optimal Learning in Science Environments-\$3.6 million



Number of PIRE5 Awards by Discipline

Engineering	- 5
Geosciences	- 4
Social Sciences	- 3
Math/Physical Sciences	- 2
Biological Sciences	- 2
Education	- 1
Total	-17



Co-Funding from EPSCOR and disciplines~\$7 m 8-awards are eligible for PEER funding 4 female PIs 2 URMs



Global Reach of PIRE 5 Awards

China-3 France-3 **Germany-5** India-2 Italy-2 Japan-3 Mexico-2 **Netherlands-2** South Korea-4 Spain-2 Sweden-2 Switzerland-2 Taiwan-2 **United Kingdom-3**

Brazil-1 Canada-2 Chile-1 **Colombia-1** Egypt-1 **Ethiopia-1** Finland-1 Israel-1 Kenya-1 Norway-1

Phillipines-1 Poland-1 Portugal-1 Russia-1 Singapore-1 Tanzania-1 Turkey-1



Estimated Timeline for Next PIRE Competition

December 2015 Solicitation and Management plan presented for internal NSF review Solicitation Announced on NSF Website June 2016 July 2016 Outreach and Webinars **Deadline for Preliminary Proposal Submissions** September 2016 **Preliminary Proposal Panels** October 2016 Invite/Not Invite Decisions November 2016 **Full Proposal Submission Deadline** March 2017 April 2017 **Full Proposal Review Panels** Schedule Meetings with PCC and Partnering Organizations May 2017 finalize budgets, and make award recommendations Announce Awards June 2017



PIRE Program Evaluation

- The PIRE program appears to foster international collaboration by U.S. scientists and engineers
- PIRE participants are more likely than others who engage in single-award funded international collaborations to continue collaborating internationally after the end of the award
- Early career scientists benefit from PIRE through increased research productivity
- Postdocs in PIRE see an increase, on average, in the impact of their research, as measured by citations of published journal articles.



PIRE Evaluation Recommendations

- Collect information about PIRE (and other program) participants more systematically
- Consider mechanisms to alleviate administrative burden on PIs by requiring PIRE projects to fund a project administrator to help manage the projects and thus allow the PIs to focus on the research agenda of the award
- Facilitate funding and support for foreign investigators participating in PIRE
- Identify best practices at U.S. institutions for minimizing barriers to student research abroad

http://abtassociates.com/AbtAssociates/files/54/541407a8-485e-4f5d-8697bb17542544e8.pdf



Preparing Your PIRE Preliminary Proposal

- 1. Cover Sheet: Budget \$2 is the requested amount Check International Activities Box and select appropriate countries from the drop down list
- Project Summary: Very Important (1 page maximum) Describe concept, intellectual merit, and broader impact. You are writing to a broad scientifically literate audience
- 3. Table of Contents-automatically generated in FastLane
- 4. Project Description: (6 pages maximum) Outlines research, breakthroughs being sought, and benefits and reasons for the international collaboration (expertise, facilities, resources, phenomena)

Administrative Summary (1 page maximum), Research Summary (3 pages maximum), Education Summary (2 pages maximum)

- 5. References Cited: NSF GPG Instructions
- 6. Biographical Sketches: PI, CoPIs, key domestic and international partners-GPG



Preparing Your PIRE Proposal (cont'd)

- 7. Conflicts of Interest Information: Document listing all individuals in item 6 and individuals they have a conflict with
 - Conflicts are defined as:
 - current, previous (12 mos.) or possible future employment at lead or partner institution
 - thesis advisor or student relationship
 - co-author of paper, project collaborator within past 48 months
 - family member or close friend
- 8. Supplemental Documents: Official letters of commitment not needed at preliminary proposal stage but informal evidence is needed.
 NOTE: Partnerships cannot be changed if invited to submit full proposal
- 9. Optional Reviewer Information: List of Suggested Reviewers, List of Suggested Non-Reviewers (brief explanation why should not review), submitted under single copy documents section



Tips on Preparing Your PIRE Proposal

- Build on your existing Foreign Collaborators and Partners
- Project Summary needs to be, clear, comprehensive, and written in the third person. It should contain an overview statement, an intellectual merit statement, a broader impacts statement, and a conclusion (this is where your proposal needs to get noticed)
- Make sure that you have a significant reciprocal educational component that includes graduate students and undergraduate students (mostly graduate students)
- Make sure the letters of commitment (or evidence thereof) from the foreign institutions are strong with specific contributions of support (institutional and staff commitments)
- Make a strong statement about CUNY and the role it has played in supporting women and underrepresented minorities and how it will provide opportunities to them in this project (URM are also underrepresented in international collaborations)
- Make use of tables and charts to convey the complexity of your project

