

**Monday, September 13<sup>th</sup>****8:30 a.m. – 8:45 a.m. Sign-in, Networking, and Welcome****8:45 a.m. – 9:15 a.m.*****Office of Naval Research (ONR) Update*****Presenter: Brian Bradley**, Director, Indirect Cost Branch**Level:** Update**Field of Study:** Research Costing and Compliance**Advanced Preparation:** None Required**Description:** Brian Bradley will provide an ONR Update..**Prerequisite:** Participants should be familiar with the Office of Naval Research (ONR) as a funding entity.**Learning Objectives:**

- Participants will receive updates on ONR/DoD grant and contract policies
- Participants will gain knowledge about current issues impacting indirect cost (F&A) rate proposals and negotiations.

**9:15 a.m. – 10:00 a.m.*****Department of Health and Human Services, Office of Inspector General (OIG) Update*****Presenters: Nicholas J. Halko**, Assistant Regional Inspector General, HHS-OIG-OAS; **Steven DeGroff**, Senior Auditor, HHS-OIG-OAS; and **Caren Robert**, Senior Auditor, HHS-OIG-OAS.**Level:** Update**Field of Study:** Research Costing and Compliance**Advanced Preparation:** None Required**Description:** Want to know what is happening in the coming year with the DHHS and OIG activities? Want to hear about some recent audit outcomes, hot topics, and concerns from the OIG community? Join this session for answers to these questions.**Prerequisite:** Participants should be aware of the OIG's mission and high level/current topics related to extramural federal funding.**Learning Objectives:**

- Participants will learn of recent audit findings and areas of concern.
- Participants will learn of the OIG's fiscal years 2021-2022 audit plans.
- Participants will learn tips on how to prevent false claims and fraud.

**10:00 a.m. - 10:10 a.m.      Break****10:10 a.m. – 11:10 a.m.*****HHS Cost Allocation Services (CAS) Update*****Presenter: Mike Leonard**, College and University National Specialist, U.S. Department of Health and Human Services**Level:** Update**Field of Study:** Research Costing and Compliance**Advanced Preparation:** None Required



**Description:** CAS representatives will provide an update from DHHS Cost Allocation Services (CAS). Important information will be shared regarding indirect cost (F&A) and fringe benefit cost rate reviews, that could better prepare you for your next F&A and fringe benefit proposal submission.

**Prerequisites:** Participants should have a firm foundation with indirect costing/allocation practices.

**Learning Objectives:**

- Participants will receive updates on the activities of DHHS Cost Allocation Services (CAS), Participants will gain knowledge about current issues impacting indirect cost (F&A) and fringe benefit rate proposals and negotiations

**11:10 a.m. - 11:15 a.m. Break**

**11:15 a.m. – 12:00 p.m.**

***Northeast University Panel on Post-Pandemic Space Planning***

**Moderator:** **Judith Ryan**, Director of Cost Analysis, Harvard University

**Panelists:** **Lisa Halpert**, Director, Financial Planning, Tufts University; **Gretchen Hartigan**, Assistant Vice President for Post Award Financial Operations, Boston University; **Steven Gill**, Assistant Vice President for Costing, Analysis and Policy, Princeton University

**Description:** This discussion will include considerations around relinquishing administrative space due to the increase in remote work with the intent to repurpose or expand the University's research space. We welcome an active discussion in this area.

Tuesday, September 14<sup>th</sup>:

**12:15 p.m.- 12:30 p.m. Sign-in and Networking**

**12:30 p.m. – 1:15 p.m.**

***NSF OIG Update***

**Presenters:** **Ken Lish**, Director Contract Grant Audits, **Jeanette Hyatt**, Audit Manager

**Level:** Update

**Field of Study:** Research Costing and Compliance

**Advanced Preparation:** None Required

**Description:** The National Science Foundation's Office of Inspector General's Office of Audit will discuss the federal audit environment, the role of the NSF OIG, the audit work plan, evolving audit approaches, recent audit work, an update on the OIG's COVID-19 audits, and whistleblower information.

**Learning Objectives:**

- Participants will have a better understanding of the role of the OIG.
- Participants will have a better understanding of the work the OIG conducts.
- Participants will have a better understanding of how the OIG conducts its work.
- Participants will have a better understanding of whistleblower protections.
- Participants will have a better understanding of the roles and responsibilities of the auditor.
- Participants will gain an awareness of common audit findings.

**Prerequisite:** Participants should be familiar with the auditing process and compliance requirements at their institutions.



**1:15 p.m. - 1:30 p.m. Break**

**1:30 p.m. – 2:05 p.m.**

***Council on Governmental Relations (COGR) Update***

**Presenter:** Sarah Axelrod, Assistant Vice President, Office for Sponsored Programs at Harvard University

**Level:** Intermediate

**Field of Study:** Research Costing and Compliance

**Advanced Preparation:** None Required

**Description:** COGR is an association of leading research universities, affiliated medical centers, and independent research institutes that provides national expertise on the financial and regulatory infrastructure and the corresponding compliance requirements associated with managing federal research grants and contracts within research institutions. COGR communicates the viewpoint and concerns of its members and fosters productive relationships between the research community and federal policymakers, advocating for innovation and change that avoid unnecessary regulatory burden. This session will include a summary of COGR initiatives, with a focus on Costing, followed by questions and open discussion.

**Prerequisite:** NA - Overview

**Learning Objectives:**

- Participants will have a better understanding of COGR’s mission.
- Participants will discuss and develop a better understanding of COGR’s current activities and priorities and its strategies for addressing potential problematic Federal requirements, particularly those related to costing and cost reimbursement.

**2:05 p.m. - 2:10 p.m. Break**

**2:10 p.m. -2:55 p.m.**

***Public Data Management & Sharing – Costing Considerations***

**Presenter:** Jim Luther, Associate VP Finance & Research Costing Compliance Officer

**Level:** Intermediate

**Field of Study:** Research Costing and Compliance

**Advanced Preparation:** None Required

**Description:** Starting in 2013, with OSTP’s release of the “Holdren memo” that directed institutions to make the results of federally funded research available, institutions and federal partners have been struggling with “How” to do this, including topics related to rigor and reproducibility, tenure and recognition, infrastructure implications, numerous data security issues, etc. etc.

Today, based on discussions with various advocacy groups (AAU, COGR, APLU, FDP, etc.) that have occurred over the past 8 years, we will focus on the “Costing” aspects of how institutions can/should consider funding this expanding expense; this will include discussions related to direct and indirectly charging these costs. Critical to this is the continued partnership with federal entities who also acknowledge the difficulty inherent in undertaking this critical initiative so important to the continued success of research in the US and around the world.

**Prerequisite:** NA - Overview



**Learning Objectives:**

- Participants will have a better understanding of how institutions should consider funding their expanding expenses.
- Participants will have a better understanding of direct and indirect costing.

**2:55 p.m. - 3:00 p.m.                      Break**

**3:00 p.m. – 4:15 p.m.**

***Federal Panel Q&A Session***

**Moderator:** Anne Navin, Associate Controller at Yale University

**Panelists:** Wade Wargo and Brian Bradley, ONR; Mike Leonard, DHHS; Ken Lish, NSF OIG

**Field of Study:** Research Costing and Compliance

**Advanced Preparation:** None Required

**Description:** This is your chance to have your questions answered! A panel of representatives from the federal government will respond to questions submitted by attendees.

**Prerequisite:** Participants should have a firm background in costing practices and an awareness of current events impacting federally funded sponsored programs.

**Learning Objectives:**

- Participants will directly interact with federal agency representatives on indirect and direct costing practices.
- Participants will gain deep insights to federal costing and regulatory positions.
- Participants will obtain direct responses and information to their questions to better clarify stewardship responsibilities.

**4:15 p.m.                                      Conference Adjourned**

**CPE Information**

The National Council of University Research Administrators is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit.

Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: [www.nasbaregistry.org](http://www.nasbaregistry.org) .

Due to COVID-19, the in person NECA meeting has been cancelled and replaced with this remote conference. For this reason, many boards have recommended, and some jurisdictions have approved flexibility in the delivery method. This workshop does qualify for CPE's, with the method of delivery noted as the following: Group Live Presentation online due to COVID-19. Please be sure to verify within your jurisdiction, if this flexibility has been approved.



To ensure full credit for each session, be sure to log in for the full time of each session. To request your CPE's at the end of the conference, fill out and submit the survey monkey, and be sure to include the CPE codes, provided in the chat feature during each break. Delivery Method will be Group Internet Based.

Due to this program being offered free of charge, there will be no refunds to issue. For any further questions, please contact Nuala McGowan at [nmcgowan@fas.harvard.edu](mailto:nmcgowan@fas.harvard.edu).