

**Tuesday, September 15th****8:15 a.m. – 8:30 a.m. Sign-in, Networking, and Welcome****8:30 a.m. – 9:25 a.m.*****Office of Naval Research (ONR) Update*****Presenter:** Wade Wargo, Director for University Affairs, Office of Naval Research (ONR)**Level:** Update**Field of Study:** Research Costing and Compliance**Advanced Preparation:** None Required**Description:** Wade Wargo will provide updates from ONR.**Prerequisite:** Participants should be familiar with the Office of Naval Research (ONR) as a funding entity.**Learning Objectives:**

- Participants will receive updates on ONR/DoD grant and contract policies
- Participants will gain knowledge about current issues impacting indirect cost (F&A) rate proposals and negotiations.

9:25 a.m. - 9:30 a.m.**Break****9:30 a.m. – 10:25 a.m.*****NSF Resolution and Advanced Monitoring*****Presenters:** Christopher Andall, and Victor Velez, NSF Resolution and Advanced Monitoring**Level:** Update**Field of Study:** Research Costing and Compliance**Advanced Preparation:** None Required**Description:** The Resolution and Advanced Monitoring (RAM) Branch at the National Science Foundation (NSF) is responsible for carrying out various post oversight and monitoring activities, including audit resolution. In this session, staff from RAM will lead an interactive discussion on the allowability of costs, efforts in stewardship, and recent trends at NSF.**Prerequisite:** Participants should be familiar with the auditing process and compliance requirements at their institutions.**Learning Objectives:**

- Updates on audit resolution trends
- Understanding the management decision process
- Importance and benefits of Collaboration and Cooperation

10:25 a.m. - 10:30 a.m.**Break**



10:30 a.m. – 11:25 a.m.

HHS Cost Allocation Services (CAS) Update

Presenters: Mike Leonard, College and University National Specialist, U.S. Department of Health and Human Services

Level: Update

Field of Study: Research Costing and Compliance

Advanced Preparation: None Required

Description: CAS representatives will provide an update from DHHS Cost Allocation Services. Important information will be shared that could better prepare you for your next F&A negotiation!

Prerequisites: Participants should have a firm foundation with indirect costing/allocation practices.

Learning Objectives:

- Participants will receive updates on the activities of DHHS Cost Allocation Services (CAS),
- Participants will gain knowledge about current issues impacting indirect cost (F&A) rate proposals and negotiations

11:25 a.m. - 11:30 a.m. Break

11:30 a.m. – 12:30 p.m.

Department of Health and Human Services, Office of Inspector General (OIG) Update

Presenters: Nicholas J. Halko, Assistant Regional Inspector General, HHS-OIG-OAS; Tiffany Friguletto, Senior Auditor, HHS-OIG-OAS; and Steven DeGroff, Senior Auditor, HHS-OIG-OAS

Level: Update

Field of Study: Research Costing and Compliance

Advanced Preparation: None Required

Description: Want to know what is happening in the coming year with the DHHS and OIG activities? Want to hear about some recent audit outcomes, hot topics and concerns from the OIG community? Join this session for answers to these questions.

Prerequisite: Participants should be aware of the OIG's mission and high level/current topics related to extramural federal funding.

Learning Objectives:

- Participants will learn of recent audit findings and areas of concern.
- Participants will learn of the OIG's fiscal years 2020-2021 audit plans.



Wednesday, September 16th:

12:15 p.m.- 12:30 p.m. Sign-in and Networking

12:30 p.m. – 1:25 p.m.

University-Federal Panel Open Discussion

Moderator: Pat Fitzgerald, Associate Dean, Harvard University

Panelists: Wade Wargo, ONR; Deborah Rafi, ONR; Robert Bradley, ONR; Mike Leonard, DHHS; Michael Stanco, DDHS; Ryan McCarthy, DHHS; Carrie Davison, NSF; Rochelle Ray, NSF; Christopher Andall, NSF; Victor Velez, NSF

Field of Study: Research Costing and Compliance

Advanced Preparation: None Required

Description: This is your chance to have your questions answered! A panel of representatives from the federal government and universities will respond to questions submitted by attendees.

Prerequisite: Participants should have a firm background in costing practices and an awareness of current events impacting federally funded sponsored programs.

Learning Objectives:

- Participants will directly interact with federal agency representatives on indirect and direct costing practices.
- Participants will gain deep insights to federal costing and regulatory positions.
- Participants will obtain direct responses and information to their questions to better clarify stewardship responsibilities.

1:25 p.m. - 1:30 p.m.

Break

1:30 p.m. – 2:25 p.m.

NSF OIG Update

Presenter: Ken Lish, Director Contract Grant Audits, Keith Nackerud, Audit Manager

Level: Update

Field of Study: Research Costing and Compliance

Advanced Preparation: None Required

Description: The National Science Foundation's Office of Inspector General's Office of Audit will discuss the federal audit environment, the audit process in general, results of recent audits, COVID-19 related topics, and emerging grant management risks.

Learning Objectives:

- Participants will have a better understanding of the role of the OIG.
- Participants will have a better understanding of the work the OIG conducts.
- Participants will have a better understanding of how the OIG conducts its work.
- Participants will have a better understanding of whistleblower protections.
- Participants will have a better understanding of the roles and responsibilities of the auditor.
- Participants will gain an awareness of common audit findings.

Prerequisite: Participants should be familiar with the auditing process and compliance requirements at their institutions.



2:25 p.m. - 2:30 p.m. Break

2:30 p.m. -3:25 p.m.

Foreign Influences on Research, What Institutions should be looking for

Presenter: Paul Coleman (The Grant Fraud Expert and Retired NSF Sr Special Agent)

Level: All

Field of Study: Research Costing and Compliance

Advanced Preparation: None Required

Description: Paul will discuss systematic areas that can shed light on whether a researcher's foreign interactions maybe problematic.

Topics of discussions will include:

- Red Flags that help to identify problems;
- Institutional Systems and Offices who may have important information;
- How to use Institutional information to Identify and assess potential problems;
- Institutional process needed to identify and resolve questionable Foreign Influences;
- Questions from attendees.

Prerequisite: Knowledge of Sponsored Research Administration, Compliance Efforts and Programs, Conflict of Interest

Learning Objectives: To share knowledge of current compliance issues and how to respond to investigation or special audit.

3:25 p.m. - 3:30 p.m. Break

3:30 p.m. – 4:30 p.m.

Council on Governmental Relations (COGR) Update

Presenters: David Kennedy, VP & Director, Costing & Financial Compliance

Level: Intermediate

Field of Study: Research Costing and Compliance

Advanced Preparation: None Required

Description: COGR is an association of leading research universities, affiliated medical centers, and independent research institutes that provides national expertise on the financial and regulatory infrastructure and the corresponding compliance requirements associated with managing federal research grants and contracts within research institutions. COGR communicates the viewpoint and concerns of its members and fosters productive relationships between the research community and federal policymakers, advocating for innovation and change that avoid unnecessary regulatory burden. This session will include a summary of COGR initiatives, with a focus on Costing, followed by questions and open discussion.

Prerequisite: NA - Overview

Learning Objectives:

- Participants will have a better understanding of COGR's mission.
- Participants will discuss and develop a better understanding of COGR's current activities and priorities and its strategies for addressing potential problematic Federal requirements, particularly those related to costing and cost reimbursement.



4:30 p.m.

Conference Adjourned

CPE Information

The National Council of University Research Administrators is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit.

Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org.

Due to COVID-19, the in person NECA meeting has been cancelled and replaced with this remote conference. For this reason, many boards have recommended, and some jurisdictions have approved flexibility in the delivery method. This workshop does qualify for CPE's, with the method of delivery noted as the following: Group Live Presentation online due to COVID-19. Please be sure to verify within your jurisdiction, if this flexibility has been approved.

To ensure full credit for each session, be sure to log in for the full 60 minutes of each session. To request your CPE's at the end of the conference, fill out and submit the survey monkey, and be sure to include the CPE codes, provided in the chat feature during each break. All Continuing Professional Education Credits (CPE's), will be issued by September 25th, 2020.

Due to this program being offered free of charge, there will be no refunds issued. For any further questions, please contact Patrick Fitzgerald at pwf@fas.harvard.edu or 617-495-4083.