



Monday, August 26th

3:00 p.m. -5:00 p.m. -Conference Registration and Check-in (Lobby)

6:00 p.m. -7:00 p.m. -Reception (Garden Terrace)

7:00 p.m. -9:30 p.m. – Dinner (Garden Terrace)

Tuesday, August 27th

7:00 a.m. - 8:00 a.m. **Breakfast**

8:15 a.m. - 8:30 a.m. **Welcome and Introductions (Pat Fitzgerald)**

8:30 a.m. – 9:30 a.m.

Office of Naval Research (ONR) Update

Presenter: Wade Wargo, Director for University Affairs, Office of Naval Research (ONR)

Level: Update

Description: Wade Wargo will provide updates from ONR.

Prerequisite: Participants should be familiar with the Office of Naval Research (ONR) as a funding entity.

Learning Objectives:

- Participants will receive updates on ONR/DoD grant and contract policies
- Participants will gain knowledge about current issues impacting indirect cost (F&A) rate proposals and negotiations.

9:30 a.m. – 10:30 a.m.

Space Survey Methodologies

Presenters: Eric Wang, Manager, Huron Consulting; **Charlotte Gallant**, Director of Cost Analysis and Compliance, Harvard FAS; **Grace Shin**, Associate Director of Cost Analysis, Harvard HMS

Level: Beginner

Description: Join us for an exploration of different methodologies to capture space usage for F&A Rate Proposals in an age of big data. Share information about your current space survey methodology and what tips and advice you have to improve the accuracy of the information. We will highlight three potential view points as we maneuver our way through the process from start to finish and hope to spark interesting discussions along the way.

Prerequisite: No pre-requisite but some topics may include more advanced understanding.

Learning Objectives:

- Participants will gain a better understanding of the space survey process.
- Participants will gain a better understanding of alternative survey methodologies.
- Participants will gain a better understanding of planning for future surveys.

10:30 a.m. - 10:45 a.m. **Break**



10:45 a.m. – 12:00 p.m.

Strategies for the Development of the O&M Cost Pool

Presenters: Mark Davis, Partner and David Moore, Manager - Attain LLC

Level: Intermediate

Description: At many institutions, the Operations & Maintenance cost pool generally accounts for the largest F&A rate component. The presenters will lead a discussion on Costing Strategies and Emerging Issues Related to the Cost Pool Development and Recovery of O&M expenses.

Prerequisite: Basic understanding of Uniform Guidance IHE Cost Principles, F&A cost proposal development, and regulatory compliance requirements.

Learning Objectives: Participants will gain the knowledge to assess how their Institution could identify, allocate and recover additional costs related to Operations & Maintenance activities.

1. How to identify and classify these types of costs in your institution's F&A proposal; are there other mechanisms to recover these costs?
2. A better understanding of new and emerging costing issues

12:00 p.m. - 1:00 p.m.

Lunch

1:00 p.m. – 2:00 p.m.

NSF Audit and Audit Resolution

Presenters: Rochelle Ray, Branch Chief, Resolution and Advanced Monitoring and Carrie Davison, Lead Analyst for Audit Resolution

Level: Update

DESCRIPTION: The Resolution and Advanced Monitoring (RAM) Branch at the National Science Foundation (NSF) is responsible for carrying out various post oversight and monitoring activities, including audit resolution. In this session, RAM staff will lead discussions on the allowability of costs, audit resolution roles and responsibilities, and recent trends at NSF.

Prerequisite: Participants should be familiar with the auditing process and compliance requirements at their institutions.

LEARNING OBJECTIVES:

- Participants will gain a better understanding of factors that NSF considers in determining the allowability of costs through an interactive discussion.
- Participants will have a better understanding of NSF's expectations for entities during OIG audits and audit resolution.
- Participants will have a better understanding of recent trends at NSF.

2:00 p.m. – 3:00 p.m.

Council on Governmental Relations (COGR) Update

Presenters: Ara Tahmassian, Chief Research Compliance Officer, Harvard & Sarah Axelrod, Assistant Vice President, OSP, Harvard.

Level: Intermediate



Description: COGR is an association of leading research universities, affiliated medical centers, and independent research institutes that provides national expertise on the financial and regulatory infrastructure and the corresponding compliance requirements associated with managing federal research grants and contracts within research institutions. COGR communicates the viewpoint and concerns of its members and fosters productive relationships between the research community and federal policymakers, advocating for innovation and change that avoid unnecessary regulatory burden. This session will include a summary of COGR initiatives, with a focus on Costing, followed by questions and open discussion.

Prerequisite: NA - Overview

Learning Objectives:

- Participants will have a better understanding of COGR's mission.
- Participants will discuss and develop a better understanding of COGR's current activities and priorities and its strategies for addressing potential problematic Federal requirements, particularly those related to costing and cost reimbursement.

3:00 p.m. – 3:15 p.m. Refreshment Break

3:15 p.m. – 4:15 p.m.

Current Compliance Issues Effecting Higher Education Institutions

Presenter: Paul Coleman (The Grant Fraud Expert and Retired NSF Sr Special Agent) and Ara Tahmassian, Chief Research Compliance Officer, Harvard University

Level: All

Description: Lisa Mosley, Executive Director of OSP, Yale, will moderate a discussion about current compliance issues effecting Higher Education Institutions.

Topics of discussions will include:

- Foreign Influences on Research (NIH Dear Colleague Letter dated August 2018);
- Self Disclosure Issues (Why, When, Who, What, and How);
- Compliance Programs (Institutional developed vs. Government required program due to settlement);
- The effects on an institution from a Government Investigation or Special Audit;
- Questions from attendees.

Prerequisite: Knowledge of Sponsored Research Administration, Compliance Efforts and Programs, Conflict of Interest

Learning Objectives: To share knowledge of current compliance issues and how to respond to investigation or special audit.

4:15-5:00 *HHS Cost Allocation Services (CAS) Update*

Presenters: Mike Leonard, College and University National Specialist, U.S. Department of Health and Human Services

Level: Update



Description: CAS representatives will provide an update from DHHS Cost Allocation Services. Important information will be shared that could better prepare you for your next F&A negotiation!

Prerequisites: Participants should have a firm foundation with indirect costing/allocation practices.

Learning Objectives:

- Participants will receive updates on the activities of DHHS Cost Allocation Services (CAS),
- Participants will gain knowledge about current issues impacting indirect cost (F&A) rate proposals and negotiations

5:30 p.m. - 7:30 p.m. Reception and Dinner

Description: Be sure to visit the Exhibitor Fair for valuable information about products and services available to assist institutions in addressing issues related to college cost accounting

7:30 p.m. -10:30 p.m. Entertainment by “BenG and the BureauCats”

Wednesday, August 28th:

7:00 a.m. - 8:00 a.m. Breakfast

8:00 a.m. – 8:45 a.m.

Operationalizing Best Practices for Internal Service and Recharge Centers

Presenters: Liliana Keany, Ami Patel, and Martin Smith, Princeton University

Level: Intermediate

Description: Many NECA participants are well versed in understanding federal costing requirements as they relate to internal service and recharge centers; however, the challenge is operationalizing the standards into compliant best practices.

The presenters will share their experiences working with academic departments to address common business issues such as developing equitable billing rates, cost allocation approaches, and treatment of various types of user subsidies.

Prerequisite: Working knowledge of federal costing guidelines as they relate to internal service and recharge centers; particularly, *OMB Uniform Guidance 2 CFR 200.468, Specialized Service Facilities*.

Learning Objectives: This session will provide strategies for operationalizing the federal costing requirements as they relate to internal service and recharge centers.

8:45 a.m. – 9:45 a.m.

Department of Health and Human Services Office of Inspector General (OIG) Update

Presenters: Tiffany Friguletto, Senior Auditor – Glen Richter, Audit Manager, DHHS Office of Inspector General

Level: Update



Description: Want to know what is happening in the coming year with the DHHS and OIG activities? Want to hear about some recent audit outcomes, hot topics and concerns from the OIG community? Join this session for answers to these questions.

Prerequisite: Participants should be aware of the OIG's mission and high level/current topics related to extramural federal funding.

Learning Objectives:

- Participants will learn of the OIG's fiscal year 2019 audit plans.
- Participants will learn of recent audit findings and areas of concern.

9:45 a.m. - 10:00 a.m. Break

10:00 a.m. -10:45 a.m.

IDC Rate Extensions

Presenters: **Chuck Wuensch**, Senior Manager; **Inna Gvozdeva**, Director, MAXIMUS

Level: Intermediate

Description: The Uniform Guidance and CAS Best Practices Manual include information about the parameters and frequency for F&A rate extensions, and the data that is required to support extension requests. This presentation will review the federal guidance and the process for requesting an extension, what factors to consider in making a decision, and how many years to request. Case studies will be presented to illustrate different scenarios and the issues to consider in the decision making process for each one.

Prerequisites: Basic understanding of Uniform Guidance cost principles and the F&A rate development and negotiation process.

Learning Objectives:

- Participants will have a better understanding of the federal guidance concerning F&A rate extension requests and the process for submitting requests.
- Participants will have a better understanding of the factors to consider in making a decision about requesting an extension, and this knowledge will enable you to assist your institution with the strategic planning process.
- Participants will have a better understanding of when an extension might be the best option or when it would not be and how many years to request.

10:45 a.m. – 12:00 p.m.

University-Federal Panel Open Discussion

Moderator: **Pat Fitzgerald**, Associate Dean, Harvard University and **Sarah Axelrod**, Assistant Vice President, Harvard University

Panelists: **Wade Wargo**, ONR; **Mike Leonard**, DHHS; **Carrie Davison**, NSF; **Rochelle Ray**, NSF

Description: This is your chance to have your questions answered! A panel of representatives from the federal government and universities will respond to questions submitted by attendees.

Prerequisite: Participants should have a firm background in costing practices and an awareness of current events impacting federally funded sponsored programs.

Learning Objectives:

- Participants will directly interact with federal agency representatives on indirect and direct costing practices.



- Participants will gain deep insights to federal costing and regulatory positions.
- Participants will obtain direct responses and information to their questions to better clarify stewardship responsibilities.

12:00 p.m. Closing Remarks and Conference Adjourned

Cancellation/Refund Policy

Cancellations prior to August 12th will receive full refunds. For cancellations after August 11th, there will be a \$150 cancellation fee. Contact Chris Wade with questions.

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