



Monday, August 27th

3:00 p.m. -5:00 p.m. -Conference Registration and Check-in (Lobby)

6:00 p.m. -7:00 p.m. -Reception (Garden Terrace)

7:00 p.m. -9:30 p.m. – Dinner (Garden Terrace)

Tuesday, August 28th

7:00 a.m. - 8:15 a.m. **Breakfast**

8:30 a.m. - 8:45 a.m. **Welcome and Introductions (Pat Fitzgerald)**

8:45 a.m. – 9:45 a.m.

Office of Naval Research (ONR) Update

Presenter: Wade Wargo, Director for University Affairs, Office of Naval Research (ONR)

Level: Update

Description: Wade Wargo will provide updates from ONR.

Prerequisite: Participants should be familiar with the Office of Naval Research (ONR) as a funding entity.

Learning Objectives:

- Participants will receive updates on ONR/DoD grant and contract policies
- Participants will gain knowledge about current issues impacting indirect cost (F&A) rate proposals and negotiations.

9:45 a.m. – 10:45 a.m.

Research Computing, Information Security Compliance Requirements, and Impacts to Cost Accounting

Lead Presenter: Wendy Meister and Sarah Axelrod

Additional Presenter: Jim Carter

Level: Intermediate

Description: To present / lead a discussion on the increasing costs of high performance research computing and additional information security compliance requirements such as FISMA, GDPR, NIST SP 800-171, among others, and the cost impacts to institutions.

Prerequisite: Basic understanding of Cost Principles, F&A and Recharge Center rates development, and additional compliance requirements.

Learning Objectives: Participants will gain the knowledge and tools to assess how his/her Institution could charge or recover additional costs related to research computing and other compliance requirements.

1. How do you treat these types of costs in your institution's F&A proposal; are there other mechanisms to recover these costs?
2. Should / does an institution charge for these services?
3. If so, what is the unit base for developing the rates, or other direct charging elements?



10:45 a.m. - 11:00 a.m. Break

11:00 a.m. – 12:00 p.m.

Managing the Under-Recovery of F&A

Presenters: Mark Daniel, Attain LLC and Vivian Holmes, Boston University

Description: With reductions expected from federal research sponsors, institutions are increasingly under pressure to grow their non-federal portfolios, including funding from philanthropic foundations. Many of these sponsors do not allow for reimbursement of F&A or in some case only cover a small portion. In addition, many have made changes to their administrative requirements and policies adding specific compliance requirements as well as unique application and reporting templates and procedures, all of which are further burdening central and departmental administration.

Level: All

Prerequisite: Participants should have an understanding of budgeting and an appreciation of the impact of facilities and administrative expenses within our institutions.

Learning Objectives: Participants will discuss the primary issues and impact of reduced overhead, acquire tools to track and monitor its value, and develop strategies for mitigating under-recovery of facilities and administrative costs.

12:00 p.m. - 1:00 p.m. Lunch

1:00 p.m. – 2:00 p.m.

NSF Advanced Monitoring, Audit Resolution & Other Post Award Activities

Presenters: Carrie Davison, Lead Analyst for Audit Resolution & Victor Velez, Grant & Contract Cost Analyst

Level: Update

DESCRIPTION: The Resolution and Advanced Monitoring (RAM) Branch at the National Science Foundation (NSF) is responsible for carrying out various post oversight and monitoring activities. In this session, RAM staff will provide an overview of post award monitoring and audit resolution activities at NSF.

LEARNING OBJECTIVES:

- Participants will have general understanding of timeline, roles, and responsibilities for post award activities.
- Participants will have a better understanding of NSF's expectations for entities during OIG audits, audit resolution, and post award activities.
- Participants will gain an awareness of commonly identified areas of concerns/non-compliance during post award activities.
- Participants will have a better understanding of how NSF develops recommendations and management decisions.

PRE-REQUISITE: Participants should be familiar with the auditing process and compliance requirements at their institutions.



2:00 p.m. – 3:00 p.m.

Council on Governmental Relations (COGR) Update

Presenters: **Cindy Hope**, Assistant Vice President of Research at the University of Alabama & **Ara Tahmassian**, Chief Research Compliance Officer, Harvard University

Level: Intermediate

Description: COGR is an association of leading research universities, affiliated medical centers, and independent research institutes that provides national expertise on the financial and regulatory infrastructure and the corresponding compliance requirements associated with managing federal research grants and contracts within research institutions. COGR communicates the viewpoint and concerns of its members and fosters productive relationships between the research community and federal policymakers, advocating for innovation and change that avoid unnecessary regulatory burden. This session will include a summary of COGR initiatives, with a focus on Costing, followed by questions and open discussion.

Prerequisites: NA - Overview

Learning Objectives:

- Participants will have a better understanding of COGR's mission.
- Participants will discuss and develop a better understanding of COGR's current activities and priorities and its strategies for addressing potential problematic Federal requirements, particularly those related to costing and cost reimbursement.

3:00 p.m. – 3:15 p.m.

Refreshment Break

3:15 p.m. – 4:15 p.m.

NSF OIG Update

Presenter: **Ken Lish**, Supervisory Audit Manager

Level: Update

Description: The National Science Foundation's Office of Inspector General's Office of Audit will speak about ongoing changes to how the OIG assesses risk and conducts audits, including updates to the OIG's risk matrix, greater use of internal auditors, enhanced flexibility with external audit contracts, addressing issues consistently identified in audits, and early communication with NSF staff on the merit of potential findings. Ongoing and recently completed audits will also be discussed.

Learning Objectives:

- Participants will have a better understanding of the role of the OIG.
- Participants will have a better understanding of the work the OIG conducts.
- Participants will have a better understanding of how the OIG conducts its work.
- Participants will have a better understanding of whistleblower protections.
- Participants will have a better understanding of the roles and responsibilities of the auditor.
- Participants will gain an awareness of common audit findings.

Prerequisite: Participants should be familiar with the auditing process and compliance requirements at their institutions.



4:15-5:00 HHS Cost Allocation Services (CAS) Update

Presenters: **Mike Leonard**, College and University National Specialist, U.S. Department of Health and Human Services, **Lou Martillotti**, Branch Chief, Colleges, Universities, Hospitals & Non-profits, U.S. Department of Health and Human Services

Level: Update

Description: CAS representatives will provide an update from DHHS Cost Allocation Services. Important information will be shared that could better prepare you for your next F&A negotiation!

Learning Objectives:

- Participants will receive updates on the activities of DHHS Cost Allocation Services (CAS),
- Participants will gain knowledge about current issues impacting indirect cost (F&A) rate proposals and negotiations

Prerequisites: Participants should have a firm foundation with indirect costing/allocation practices.

Format: Presentation

5:30 p.m. - 7:30 p.m. Reception and Dinner

Description: Be sure to visit the Exhibitor Fair for valuable information about products and services available to assist institutions in addressing issues related to college cost accounting

7:30 p.m. -11:00 p.m. Entertainment by “BenG and the BureauCats”

Wednesday, August 29th:

7:00 a.m. - 8:00 a.m. Breakfast

8:00 a.m. – 8:45 a.m.

Current and Pending Support/Other Support: What Are We Reporting, and Why?

Presenters: **Ara Tahmassian**, Chief Research Compliance Officer, **Pat Fitzgerald**, Associate Dean, Harvard University

Level: All

Description: Grant applications to federal sponsors require institutions to disclose information for key personnel on active and pending awards. This information must be submitted at the time of proposal, or “just-in-time,” and is referred to as “current and pending support” (NSF and other agencies) or “other Support” NIH. The information includes all financial support and resources available in direct support of an individual’s research endeavors, and effort committed to the project. The presenters will describe the NSF and NIH reporting requirements and facilitate a discussion about challenges institutions encounter in meeting the reporting requirements and what can be done to ensure the accurate of current and pending support.

Prerequisite: Participants should be familiar with the reporting requirements of federal agencies for “current and pending support” and “other support” for ongoing projects and proposals



Learning Objectives: Participants will gain an understanding of the agency requirements governing current and pending support/other support, identify challenges institutions face in meeting the reporting requirements, and share information on how to improve the accuracy of reporting.

8:45 a.m. – 9:45 a.m.

Department of Health and Human Services Office of Inspector General (OIG) Update

Presenters: **Tiffany Friguelto**, Senior Auditor – **Edmund Lasek**, Senior Auditor, DHHS Office of Inspector General

Level: Update

Description: Want to know what is happening in the coming year with the DHHS and OIG activities? Want to hear about some recent audit outcomes, hot topics and concerns from the OIG community? Join this session for answers to these questions.

Prerequisite: Participants should be aware of the OIG’s mission and high level/current topics related to extramural federal funding.

Learning Objectives:

- Participants will learn of the OIG’s fiscal year 2019 audit plans.
- Participants will learn of recent audit findings and areas of concern.

9:45 a.m. - 10:00 a.m. Break

10:00 a.m. -10:45 a.m.

Managing an F&A Project: Expectations, Communication, Stakeholders, Systems

Presenters: **Chea Smith**, Director of F&A Costing and Compliance, Rutgers U; **Deb Carmel** Consultant, Higher Education Practice, Maximus

Level: All

Description: This session will address the special challenges of managing an F&A proposal development project. An F&A proposal has different goals from most financial projects, a long time frame, and stakeholders with divergent expectations. The agenda will begin with an overview of the steps involved in generating an F&A proposal and discuss the management challenges at each step. Participants will consider the expectations of the diverse stakeholders to the project and learn how to plan the process. The session will address managing data gathering, diversity of systems and communications at varying levels. The overall goal will be efficient project management.

Prerequisites: None

Learning Objectives:

- Participants will learn the critical aspects of managing an F&A proposal development project
- Participants will gain insights into the special challenges of project management associated with an F&A proposal
- Participants will learn about the importance of communication to the diverse stakeholders associated with the F&A rate proposal
- Participants will have the opportunity to ask questions about all aspects of the proposal preparation project, from planning through completion



10:45 a.m. – 12:00 p.m.

University-Federal Panel Open Discussion

Moderator: Gary Talesnik, Special Consultant at Attain Consulting LLC

Panelists: Wade Wargo ONR; Mike Leonard, Lou Martillotti, DHHS CAS, Carrie Davison, Victor Velez, NSF Audit Resolution; Kenneth Lish, NSF OIG

Description: This is your chance to have your questions answered! A panel of representatives from the federal government and universities will respond to questions submitted by attendees.

Prerequisite: Participants should have a firm background in costing practices and an awareness of current events impacting federally funded sponsored programs.

Learning Objectives:

- Participants will directly interact with federal agency representatives on indirect and direct costing practices.
- Participants will gain deep insights to federal costing and regulatory positions.
- Participants will obtain direct responses and information to their questions to better clarify stewardship responsibilities.

12:00 p.m.

Closing Remarks and Conference Adjourned

Cancellation/Refund Policy

Cancellations prior to August 12th will receive full refunds. For cancellations after August 11th, there will be a \$150 cancellation fee. Contact Chris Wade with questions.

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