

NECA Presenter Biographies:

Penny Berrier is an Assistant Director in the Acquisition and Sourcing Management team at the U.S. Government Accountability Office (GAO). She has been with GAO's Boston office since 2001. From 1998-2001, Ms. Berrier served as a manager in the City of Boston's Office of Budget Management focusing on improving city operations and service delivery through fiscal analysis. From 1991-1998, Ms. Berrier worked as an Analyst in GAO's National Security and International Affairs Division. Ms. Berrier graduated from Swarthmore College with a Bachelor of Arts with Honors. She earned a Master's in Public Management from the School of Public Affairs at the University of Maryland.

Jim Carter is the Director of Huron Consulting Group. Jim has worked in the higher education and healthcare environments for more than 20 years. His experience working with universities, academic medical centers and non-profit institutions has provided him with extensive knowledge of administrative processes and operations. He has extensive knowledge of a wide variety of government regulations and cost principles including OMB Circulars A-21, A-110, A-122, and other federal regulations including DHHS Publication OASC-3. Prior to joining Huron, Jim was Director of the Higher Education Facilities and Administrative Cost Practice at MAXIMUS. In addition, he worked as a Manager for KPMG Consulting, as well as Controller for the Colorado School of Mines earlier in his career. He has worked with more than 35 premier academic medical centers and research universities.

Pam Caudill is the Associate Vice President/Associate Vice Provost, Research Services at the University of Pennsylvania. In this capacity, she oversees the Office of Research Services, which is a combined pre- and post award office that includes cost analysis and electronic research administration systems administration. Pam has been with Penn for 11 years, serving first as the Director, Pre-Award Non-financial Administration. Prior to Penn, Pam served in a variety of positions at Rutgers University, Allegheny University of the Health Sciences and Children's Hospital of Philadelphia, gaining 30 years of experience in a wide range of research administration areas, including grants and contracts administration, research subject administration, research compliance and vivarium facilities management. Pam holds a Bachelor's degree from Brown University.

Mark C. Davis is a Vice President and Partner and the National Practice Director. In this role, he is responsible for business development and service delivery for Attain's Grants Management, Regulatory Compliance and Cost Reimbursement practices serving the Higher Education and Academic Medical Center markets.

Mr. Davis is experienced in cost policy and reimbursement, regulatory compliance, operations improvement, strategic planning, organizational assessments, benchmarking and best practice analysis, performance measurement, and financial information systems. His experience with Attain has included a variety of engagements directed toward providing specific financial management, regulatory policy, and business improvement services to administrators of major research colleges and universities and academic medical centers. Mr. Davis has 28 years of consulting experience with Attain, BearingPoint and KPMG.

Mr. Davis is a Certified Government Financial Manager. Mr. Davis is a member of the National Association of College and University Business Officers, the National Council of University Research Administrators, The Society of Research Administrators, and the Association of Government Accountants. He serves the higher education community and is a frequent speaker on various technical and current topics to audiences.

Patrick Fitzgerald is the Associate Dean for Research Administration for the Harvard University Faculty of Arts and Sciences (FAS). Prior to his appointment as Associate Dean in 2006, Pat was the Director of the Office of Sponsored Programs at MIT. Pat has more than 20 years experience in higher education and is a Past President of the National Council of University Research Administrators (NCURA).

David Kennedy is the Director of Costing Policies and Studies at the Council on Governmental Relations (COGR). David's responsibilities encompass monitoring all Federal financial policies that affect the management of Federal research programs. Some of the major initiatives he has worked on since joining COGR include Effort Reporting, ARRA and Section 1512 Reporting, and Facilities & Administrative (F&A) reimbursement issues. Most recently, he coordinated the COGR Recommendations to the A-21 Task Force, which provided input to reduce cost and burden associated with Federal cost principles.

David joined COGR in January 2006. Prior to COGR, he spent seventeen years in consulting working with universities and research institutions on costing-related issues with a primary focus on F&A rate development and negotiation. David received his Bachelors degree in Economics from Lehigh University and his Masters degree in Public Policy Studies from the University of Chicago. He spent his first two years after Lehigh teaching junior high school mathematics in the New York City Public School System and he recently travelled to Africa to work with an organization that supports educational development in Angola.

Julia Lane is the Founder and developer of the STAR METRICS program (<https://www.starmetrics.nih.gov>), which has been highlighted nationally and internationally, including by Science and Nature. Developed and led NSF's Science of Science & Innovation Policy program. Co-Chair NSTC's Science of Science Policy interagency group (<http://scienceofsciencepolicy.net>). Recruited by White House management to lead the development of the national R&D Dashboard prototype (<http://rd-dashboard.nitrd.gov>). Initiated and founded the Longitudinal Employer-Household Dynamics Program at the U.S. Census Bureau. This program was the first large-scale linked employer-employee dataset in the United States, and has evolved into a permanent Census Bureau program (<http://lehd.did.census.gov>). Created, developed and managed the NORC/University of Chicago data enclave www.norc.uchicago.edu/DataEnclave/. This provides a confidential, protected environment within which authorized researchers can access sensitive microdata remotely. Led the Economics Department at NORC at the University of Chicago; oversaw the implementation of some of the most visible social science surveys in the US.

Janet Mckelvey is a Senior Analyst at the Government Accountability Office (GAO). As a member of GAO's Acquisition and Sourcing Management team, she has worked on a variety of topics, including Iraq contracting, interagency contracting, the acquisition workforce, indirect costs for research, and projects related to the Defense Contract Audit Agency and the Defense Contract Management Agency. Janet was the Analyst-in-Charge of GAO's report entitled University Research: Policies for Reimbursement of Indirect Costs Need to be Updated (GAO-10-937).

Scott Purdy is an Analyst at the Government Accountability Office (GAO). As a member of GAO's Acquisition and Sourcing Management team, he has worked on topics including Coast Guard acquisition personnel, indirect costs for research, communications terminals, and airborne electronic attack systems. Scott was the primary analyst responsible for the survey conducted for GAO's report on the reimbursement of indirect costs.

Debbi Rafi joined the Office of Naval Research (ONR) Indirect Cost Branch in 1993, as a Cost & Price Analyst; promoted to Contracting Officer/Negotiator in 1994, appointed Director of the Indirect Cost Branch in 2001. Prior to joining ONR, I was an auditor with the Defense Contract Audit Agency from 1986-1992. I am also actively involved in the FDP (Federal Demonstration Partnership) on behalf of ONR.

Marilyn Surbey is a Higher Education and Non-Profit partner with Attain. With more than 30 years of experience, Ms. Surbey is a nationally recognized expert in the areas of grants management, financial compliance, effort certification, cost accounting standards, and facilities and administrative rates.

Previously, Ms. Surbey was a Senior Manager with BearingPoint where she served universities and academic medical centers, focusing on grants management. During this time, she managed the largest project ever awarded to BearingPoint in the grants practice.

Ms. Surbey served as the Associate Vice President for Finance and Research at Emory University where she was responsible for all areas of research administration for ten years. She also held various research administration related positions at the University of Minnesota for 18 years, including Assistant Vice President for Research.

She holds a Bachelor's Degree in Accounting from Southern Illinois University and a Master's Degree from the University of Minnesota with a concentration in Accounting/Finance. Ms. Surbey also was selected by Emory University to attend the Summer Institute for Women in Higher Education Administration at Bryn Mawr College.

Gary Talesnik is the Special Consultant for Attain, LLC. Gary has been a consultant on costing and grants management to universities and other research institutions since 1994, after serving for nearly 30 years in professional and executive positions with the Federal Government. Immediately prior to entering the consulting field, he was the Director of the Office of Grants Management at the U.S. Department of Health and Human Services (HHS). In this position, he was the chief grants management official of the largest and most diverse grant-making agency in the Federal Government. He also served for many years as the National Director of HHS' Division of Cost Allocation and HHS' cost policy and audit resolution operations. He has worked extensively with OMB on the development of cost principles for universities, nonprofit organizations, and State and local governments in Circulars A-21, A-122 and A-87. He has provided consulting services to numerous institutions on the development of indirect cost proposals and negotiation of indirect rates, the preparation Cost Accounting Disclosure Statements and related institutional policies, resolution of audit findings, interpretation of Federal cost principles and grants management policies, and other areas related to the administration of grants and contracts.

David Woodward is Assistant Vice President in Brandeis University's Office of Budget and Financial Planning. He has worked for twenty years in higher education finance, both on campus (Brandeis, MIT) and as a consultant. At Brandeis, he is working with colleagues to identify the University's cost structures and develop a financial plan.

David was a member of Brandeis's Research Enterprise Review Steering Committee that worked with Huron to evaluate the University's research organization, policies, and costs.

Sarah T. Axelrod is the Director of Cost Analysis at Harvard Medical School with primary responsibilities for F&A rate calculations, negotiations and other aspects of cost recovery. Other responsibilities include equipment management, effort reporting, oversight of service centers, cost sharing, research reporting, research density analysis and serving as a financial contact for the NERPRC (New England Regional Primate Resource Center), HCCM (Harvard Center for Comparative Medicine) and the VA hospitals.

Prior to working at Harvard Medical School Sarah was the VP for the Department of Surgery at the Beth Israel Deaconess Medical Center and was an auditor/consultant for Deloitte and Touche.

Paul J. Coleman is a Senior Special Agent for the National Science Foundation, Office of Inspector General. Paul Coleman started his criminal justice career by serving as a US Army Legal Clerk specializing in Pre-trial Military Justice. After leaving the Army, Paul provided investigative and paralegal services to private attorneys in the Washington D.C. area, and then worked for the Office of Personnel Management and the U.S. Soldiers and Airmen's Home, DoD. In 1989, Paul became the first Criminal Investigator for the newly created NSF-OIG. Paul served as the Special Agent-in-Charge of Investigations for NSF-OIG and the Assistant Inspector General for Investigations.

In 2000, Paul began a detailed to Department of Commerce-OIG and provided technical advice regarding program fraud in grants and contracts.

In 2001, Paul was detailed to the Investigative Operations Directorate, Defense Criminal Investigative Service, DoD-OIG. Paul provided advice and training to agents regarding fraud in DoD's Research and Development programs. Paul also coordinated investigative issues between the FBI Anthrax investigation and special DoD-OIG teams that reviewed security and safety issues at DoD supported Bio-Chemical Laboratories.

Paul returned to NSF-OIG in 2002 and conducts complex fraud investigations, coordinates multi-agency fraud issues, and provides fraud briefings. Paul has a BA from the University of Maryland in Political Science and a MA from George Washington University in Criminal Justice. Paul is a Certified Fraud Examiner.

Tracy Walters is the Director of Contract and Grant Financial Administration (GCFA) at Yale University and has been in this position for the past three-and-a-half years. Tracy is responsible for directing the post-award administration of sponsored projects, which includes financial reporting, cash management, F&A cost rate analysis, capital equipment inventory and effort reporting. During the past fiscal year 2011, Yale exceeded \$669 million in expenses for all, federal and non-federal, sponsored award activity. Prior to joining Yale, Tracy served for over seven years as the Director of Contracts and Grant Accounting at the University of Georgia where he was responsible for the post-award administration of sponsored operations. He began his post-award administration career at Emory University in Atlanta, Georgia and has over 18 years experience in the field of research administration.

